

02/08/02



1961 U.S. PTO

02-11-02

Attorney Docket No.: 000229/70002

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UTILITY PATENT APPLICATION TRANSMITTAL	
<i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	
Attorney Docket No.	000229/70002
First Named Inventor or Application Identifier	
Gladstone, Philip J. S.	
Express Mail Label No.	EL 844535377 US
Date of Deposit	February 8, 2002

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10/07/1328

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<p style="text-align: center;">APPLICATION ELEMENTS</p> <p><i>See MPEP chapter 900 concerning utility patent application contents</i></p> <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p style="margin-left: 40px;"><input type="checkbox"/> Statement filed in prior application, Status still proper and desired.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total pages 26] 22 - pages description 1 - pages abstract 3 - pages claims 18 - Total claims</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total sheets 3] <input type="checkbox"/> Informal <input checked="" type="checkbox"/> Formal [Total drawings 4]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total pages 2] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> [Note Box 6 below] i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Incorporation by Reference <i>(usable if Box 5b is checked)</i> The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. See 19.</p> <p>7. <input type="checkbox"/> Application Data Sheet, See 37 CFR 1.76</p>	<p>ADDRESS TO:</p> <p>Box Patent Application Commissioner for Patents Washington, DC 20231</p> <p>8. <input type="checkbox"/> CD-ROM or CD-R, in duplicate, large table or Computer Program (Appendix)</p> <p>9. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper (identical to computer copy)</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> Statement verifying identity of above copies</p> <p style="text-align: center;">ACCOMPANYING APPLICATION PARTS</p> <p>10. <input checked="" type="checkbox"/> Assignment Papers/cover sheet & documents(s)</p> <p>11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i> <input type="checkbox"/> Power of Attorney</p> <p>12. <input type="checkbox"/> English Translation of Document <i>(if applicable)</i></p> <p>13. <input checked="" type="checkbox"/> Information Disclosure Statement PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations</p> <p>14. <input type="checkbox"/> Preliminary Amendment</p> <p>15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>16. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>17. <input type="checkbox"/> Request and Certification Under 35 U.S.C. §122(b)(2)(B)(i)</p> <p>18. <input type="checkbox"/> Other: _____ _____ _____</p>
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19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in the body of the application, or a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. : , filed on , entitled and now .

☐ Cancel in this application claims before calculating the filing fee.

☐ Amend the specification by inserting before the first line of the specification on page 1, after the title, the following:

— This application is a ☐ Continuation ☐ Continuation –in-part (CIP) of prior application No. : , file on , entitled , and now .

Prior application information: Examiner

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS ONLY: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

20. CORRESPONDENCE ADDRESS

Correspondence address below

CUSTOMER NUMBER:



23628

21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Gary S. Engelson, Reg. No. 35,128
SIGNATURE	
DATE	February 8, 2002

Inventor or Identifier: Gladstone, Philip J. S.

Serial No: Not yet assigned

Filed: Herewith

For: STATEFUL REFERENCE MONITOR

CHECK BOX, if applicable:

☐ DUPLICATE

Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	18-20=	0 x	\$18	= \$ 0.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	3-3=	0 x	\$80	= \$ 0.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$260	= \$
				BASIC FEE (37 CFR 1.16(a))	\$ 740.00
	Total of above Calculations =				\$ 740.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$ 370.00
	Assignment Recordation Fee (if any)				\$ 40.00
	Other Fees (if any).				\$
	TOTAL =				\$ 410.00

1. A check in the amount of \$ 410.00 is enclosed.

General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ 1.16, 1.17, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ 1.16, 1.17 or 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR § 1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.


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 Attorneys of Record

Docket No. 000229/70002
 Date: February 8, 2002